

Permit Guidance  <b>5</b>  Final	<b>Reporting and Testing Guidance for Biomonitoring Required by the Ohio Environmental Protection Agency</b>	
	Rule reference: 40CFR Part 136.3; OAC 3745-2-09; OAC 3745-33-07	Ohio EPA, Division of Surface Water Revision 0, October 1991 Revision 1, July 1998
This internal guidance does not affect the requirements found in the referenced rule or statute.		

### **Purpose**

This document provides detailed instructions to Ohio EPA staff and other professionals who collect and analyze water samples for biomonitoring (bioassays) required by NPDES permits in Ohio.

### **Background**

The manual is intended to provide detailed information on the most frequently used procedures, methods, quality assurance practices and data reporting techniques for bioassay tests.

### **Procedure**

The report is attached. Contact the office listed below for more information.

### **Related Policy or guidance**

Ohio EPA. 1995. Manual of Ohio EPA Laboratory Standard Operating Procedures. Volumes I, II, III and IV.

### **For more information contact:**

Ohio EPA, Division of Surface Water  
Industrial Permit Group Leader  
(614) 644-2001

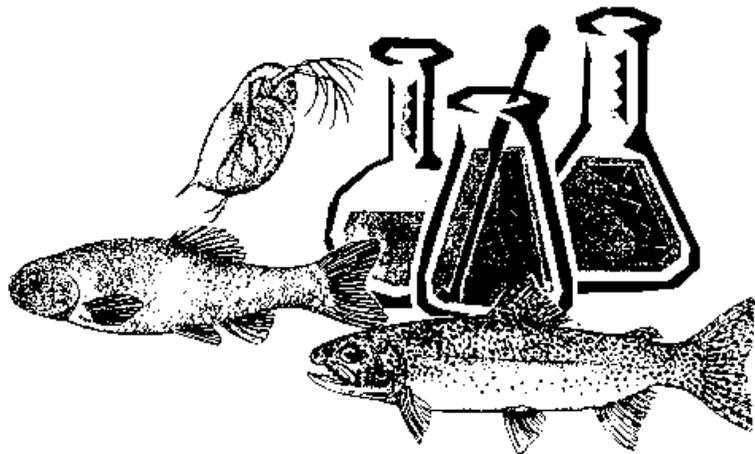
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State of Ohio Environmental Protection Agency

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## Reporting and Testing Guidance for Biomonitoring Required by the Ohio Environmental Protection Agency



July, 1998



Division of Surface Water

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Attachment 1: Form 4500

Attachment 2: Example Form 4500 Showing Acute Toxicity Test Results

Attachment 3: Ohio EPA NPDES Biomonitoring Report Form, Acute Toxicity Test

Attachment 4: Example Form 4500 Showing Chronic Toxicity Test Results

Attachment 5: Ohio EPA NPDES Biomonitoring Report Form, Chronic Toxicity Test

Attachment 6: Biosurvey Sampling Information

# REPORTING AND TESTING GUIDANCE FOR BIOMONITORING REQUIRED BY OHIO ENVIRONMENTAL PROTECTION AGENCY

## Introduction

This document has been prepared to provide guidance on the reporting and testing requirements for biomonitoring conditions in a National Pollutant Discharge Elimination System (NPDES) permit. It includes important changes to reporting procedures for biomonitoring, as well as clarification of test procedures.

Biomonitoring is designed to evaluate the impact or potential impact of a wastewater discharge on aquatic life using biological methods. Biomonitoring requirements may come in two basic forms: 1) evaluating effluent toxicity through toxicity tests; and 2) evaluating the impact of an effluent through assessment of the instream community.

Toxicity testing uses aquatic organisms to directly measure effluent toxicity and is the most common form of biomonitoring included in NPDES permits. Typically, NPDES permits in Ohio will require toxicity testing using fathead minnows (*Pimephales promelas*) and *Ceriodaphnia dubia*, although Ohio EPA will consider the use of alternative test species. Prior approval by Ohio EPA is required for use of alternative test species.

There are three methods of effluent toxicity testing, flow-through, static, and static renewal. A flow-through toxicity test requires that the tested effluent be constantly pumped through the test chamber. A static test requires that the sample used to initiate the test is the only one used for the duration of the test. A static renewal test requires that the test solutions be renewed daily using the original sample or additional samples collected during the testing period.

Toxicity tests may also measure different types of effects depending upon the duration and intent of the test. Acute toxicity tests measure short-term, obvious effects. Chronic toxicity tests measure longer-term, but potentially more subtle effects. The difference between these two types of tests involve the duration of the test and the toxicity end points measured in the tests. An acute toxicity test usually has a duration of 2 to 4 days, depending upon the test species. The end points measured are death or atypical behavior or appearance. A chronic toxicity test may last as long as 1 year or more. Early life stage chronic tests may last 28 to 30 days. Typically, a chronic or sub-chronic toxicity test required by an NPDES permit is for the shorter term of 7 days. The end points measured are growth or reproductive effects, as well as death and/or atypical behavior or appearance.

The second form of biomonitoring is the instream community assessment. It is a direct measure of the structure and function of the aquatic community living in the receiving water. The assessment is made by sampling the resident populations and comparing existing aquatic

populations to the criteria for minimally-impacted aquatic communities established in the Ohio Water Quality Standards (WQS).

Ohio EPA may require some or all of the above testing requirements based upon factors that apply to a particular facility. This document identifies the specific methods to be used in conducting biomonitoring programs and provides guidance on how to fulfill reporting requirements of the NPDES permit.

### Section 1: Mandatory Requirements for NPDES Biomonitoring

#### A. Use of Approved Methods.

The use of Ohio EPA-approved methods is required for biomonitoring conducted pursuant to the terms of an NPDES permit. Alternative methods may be used only after obtaining prior approval from Ohio EPA and USEPA. In order to solicit approval for an alternative method, the entity should submit a written request to:

Ohio EPA - Division of Surface Water  
P.O. Box 1049  
Columbus, Ohio 43216-1049

The following are approved test methodologies for biomonitoring being conducted as a required of an NPDES permit

#### 1. Approved Methods for Toxicity Testing:

The following documents contain methods that are approved for use in conducting effluent or other toxicity testing:

- a. 40 CFR 136.3 (Tables 1A and II).  
Methods to Measure the Acute Toxicity of Effluent and Receiving Waters To Freshwater, Estuarine and Marine Organisms  
  
Short-Term Methods to Estimate the Chronic Toxicity of Effluents and Receiving Waters to Freshwater Estuarine and Marine Organisms
- b. Ohio EPA Quality Assurance Manual (current edition).

These documents can be obtained by contacting Ohio EPA-Division of Environmental Services, Bioassay Section, P.O. Box 1049, Columbus, Ohio 43216-1049.

2. Approved Methods for Instream Biological Assessment:

The following documents contain methods that are approved for use in conducting stream surveys to demonstrate attainment of biological criteria established in the Ohio WQS (Ohio Administrative Code (OAC) 3745-1-07). All of the following documents are required to be utilized in the design, execution and reporting of biomonitoring conducted for comparison with the biocriteria contained in OAC 3745-1-07:

- a. Ohio EPA Surveillance Methods and Quality Assurance Manual (current edition).
- b. Biological Criteria for the Protection of Aquatic Life: Volume I. The Role of Biological Data in Water Quality Assessment, Ohio EPA, 1987.
- c. Biological Criteria for the Protection of Aquatic Life: Volume II. Users Manual for Biological Field Assessment of Ohio Surface Waters, Ohio EPA, 1987.
- d. Addendum to Biological Criteria for the Protection of Aquatic Life: Volume II. Users Manual for Biological Field Assessment of Ohio Surface Waters, Ohio EPA, 1989.
- e. Biological Criteria for the Protection of Aquatic Life: Volume III. Standardized Biological Field Sampling and Laboratory Methods for Assessing Fish and Macroinvertebrate Communities, Ohio EPA, 1989.
- f. The Qualitative Habitat Evaluation Index (QHEI): Rationale, Methods and Application, Ohio EPA, 1989.

These documents can be obtained by contacting Ohio EPA-Division of Surface Water, P.O. Box 1049, Columbus, Ohio 43216-1049.

B. Standard Operating Procedures.

Whether the permittee performs the biomonitoring work or retains a contract laboratory or consulting firm to perform the necessary testing, it is necessary to submit an official Standard Operating Procedure (SOP) to Ohio EPA. The SOP is a detailed explanation of the actual techniques used to conduct tests required by NPDES permits. The submission of an SOP will enable Ohio EPA to minimize the reporting requirements necessary for each test result, as well as verify that approved test methodologies are being utilized.

If the permittee chooses to retain a contract laboratory, a previous SOP submittal will be sufficient to fulfill this condition, so long as the SOP accurately reflects the laboratory's current operations. If an SOP has not been submitted, the permittee is responsible for assuring the timely submittal of an SOP by the laboratory performing the testing. If an

SOP has previously been submitted, the permittee should notify Ohio EPA at the address below, indicating the date and title of the submittal. Any necessity to deviate from the SOP due to special case considerations should be noted as such on the test report. Ohio EPA should be consulted prior to conducting the test if a reason for deviating from the SOP is known to exist at that time. Ohio EPA reserves the right to comment on an SOP concerning issues perceived to be in conflict with approved methods.

SOP's should be submitted, **in duplicate**, to:

Permits Section  
Ohio EPA-Division of Surface Water  
P.O. Box 1049  
Columbus, Ohio 43216-1049

## Section 2: Requirements for Acute Toxicity Testing

This Section contains NPDES permit requirements for effluent toxicity testing designed to measure acute toxicity. These requirements should be followed unless specifically modified by the NPDES permit.

### A. Acute Toxicity as an Effluent Characteristic.

Acute toxicity in an effluent toxicity test is measured as a short-term effect induced by exposure to an effluent. The end points for an acute toxicity test are generally death of the organism and/or atypical behavior or appearance (which is termed an effect). Summary statistics, such as median lethal concentration ( $LC_{50}$ ) or percent mortality, are determined following completion of the test.

### B. Test Organisms.

Test organisms used for toxicity testing required by NPDES permits will be Pimephales promelas (fathead minnow) and Ceriodaphnia dubia. Additional test species may be required based upon site-specific factors.

Alternative test species may be used for toxicity testing only with the prior approval of Ohio EPA.

### C. Length of Acute Toxicity Tests.

Acute toxicity tests will be for the durations specific for the following organisms:

1. Ceriodaphnia dubia: Acute toxicity tests using Ceriodaphnia dubia will be 48 hours in duration. Test organism survival and observations of behavior and external appearance shall be recorded every 24 hours at a minimum.
2. Pimephales promelas: Acute toxicity tests using fathead minnows will be 96 hours in duration except as indicated in Section 2.D.1.a. Test organism survival and observations of behavior and external appearance shall be recorded every 24 hours at a minimum.

D. Type of Test.

Acute toxicity tests required by NPDES permits or Director's Final Findings & Orders (DFFO's) will be static tests. Static renewal or flow-through tests may be performed only as approved or required by Ohio EPA.

Acute toxicity tests may be categorized as either screening tests or definitive tests. A screening test is a test in which the effluent and control solutions are evaluated at full strength. This is an inexpensive test designed to indicate presence or absence of toxicity in the effluent. A definitive test uses dilutions of the effluent to quantify toxicity. These two types of tests are described further below:

1. Acute Screening Tests: Ohio EPA uses screening toxicity tests of different durations depending upon the intended use of the toxicity data. These are described below:
  - a. General Screening Tests - These tests are performed by Ohio EPA or the permittee to screen for toxicity in the effluent. The effluent and control solutions are tested full strength, but the test durations are 48 hours for both Pimephales promelas and Ceriodaphnia dubia.
  - b. Additive Screening Tests - These tests may be required to be conducted as a condition of an Ohio EPA Administrative Order (DFFO's) approving the use of a cooling water additive. The effluent and control solutions are tested full strength and test durations are 96 hours for Pimephales promelas and 48 hours for Ceriodaphnia dubia.
2. Acute Definitive Tests: A definitive test is designed to quantify the amount of toxicity in an effluent. In order to accomplish this, the effluent is diluted to various concentrations with one of the control waters. A minimum of 5 effluent concentrations shall be used in a definite test. The typical dilutions used are 100, 50, 25, 12.5 and 6.25 percent by volume effluent.

There may be instances when it will be advantageous to use a different dilution series. Selection of the appropriate dilution series is the responsibility of the

permittee. Ohio EPA staff will be available for consultation on the issue of appropriate dilution series. However, the permittee, in conjunction with the testing laboratory, may exercise professional judgement on the selection of appropriate dilution series. When selecting a dilution series, the following should be considered:

- a. Allowable Effluent Toxicity (AET) - AET is the permissible amount of toxicity for a particular discharge. This value is determined by factoring the available dilution in the receiving stream with the water quality criteria for toxicity as well as the effects of any interactive discharges. Should permit limitations for toxicity be established for a permittee, the AET is the amount of toxicity that would be allowed. The method for determining the AET is established in OAC 3745-2-09. AET values are also given in NPDES fact sheets and wasteload allocations. When selecting a dilution series, the relationship of the data obtained through use of that series to the AET should be considered. The series selected should be one which will yield data to determine if the AET has/has not been exceeded, yet still identifies the  $LC_{50}$ .
- b. Toxicity in the Effluent - There may be instances where it is necessary to alter the dilution series in order to better determine an  $LC_{50}$ . This would be the case in an effluent that is moderately acutely toxic. In order to give a better estimate of the  $LC_{50}$ , the dilution series has to be shifted to a higher set of dilutions. Also, if effluent toxicity is consistently exhibited within a certain range, it may be advisable to adjust the dilution series to focus in that area to better define the  $LC_{50}$  value.

For example, an effluent has an  $LC_{50}$  of roughly 78 percent effluent and the dilution series used in testing is 100, 50, 25, 12.5 and 6.25 percent volume by effluent. Test results would often turn up as 100 percent mortality in 100 percent effluent, and no effect in 50 percent effluent. If the effluent is not too variable, the dilution series should be shifted upward to better characterize the apparent  $LC_{50}$  of 78 percent effluent. A dilution series of 100, 75, 50, 25 and 12.5 percent effluent by volume might be used in this instance, to better define the  $LC_{50}$ .

#### E. Sample Collection.

Effluent samples used to conduct the acute toxicity tests shall be collected as 24-hour composite samples. If the effluent is chlorinated for disinfection purposes, the effluent sample should be collected at a point prior to chlorination. The protocols in Section 1.A.1 should be consulted for the handling of a chlorinated sample if it is impossible to obtain a sample prior to disinfection. However, if dechlorination is an integral part of the disinfection system at the facility, the sample should be collected at the final outfall.

Unless specifically modified by the NPDES permit, an acute toxicity test of an effluent requires that an upstream control and a near-field downstream sample be collected. The upstream control sample is to be collected as a grab sample upstream from the zone of effluent and receiving water interaction. Care should be taken to assure that any upstream backflow of the effluent is taken into account when selecting the upstream sampling location.

The near-field downstream sample is to be collected as a grab sample from within the effluent plume in the immediate vicinity of the outfall. The near-field sample should be collected in the middle of the effluent plume at a distance of 5 times the water depth at the point of discharge, down current from the outfall. When water depth at the point of discharge exceeds 4 feet, the near-field sample should be collected 20 feet (6 meters) down current from the outfall.

The location of the near-field sample with respect to the effluent plume must be determined and documented at the time of sampling using temperature measurements, conductivity measurements, visual observation, a dye study, or other detailed techniques for delineating the effluent plume.

Samples taken for toxicity testing purposes must be used within 36 hours after completion of sample collection.

## F. Quality Assurance.

### 1. Requirements for the Repeating of a Test:

There may be instances when poor survival or other adverse effects exhibited by control organisms preclude the use of data from an effluent toxicity test and the test must be repeated. The following conditions outline when a repeat of an acute toxicity test is mandatory. Failure to repeat a toxicity test when necessary may result in monitoring frequency violations of NPDES permit conditions.

A repeat of an acute toxicity test is mandatory when a combination of mortality and adverse effects in both receiving water and laboratory controls exceeds 10 percent of a particular species. A repeat test is not necessary if there is 10 percent or less affected in one of the two control waters. A repeat test is necessary only for the species exhibiting unacceptable effects in the controls.

Failure to follow approved procedures may result in a requirement to repeat a toxicity test. Any deviations from approved procedures should be explicitly described in the report of the tests results.

### 2. Dilution Water Substitution:

If a combination of mortality and adverse effects in the upstream control sample exceeds 10 percent for a particular test organism in a sample, an alternative dilution and control water should be identified and used for subsequent tests. Acceptable alternative dilution waters may be similar natural waters, rearing unit water, reconstituted water, or dilute mineral water. An upstream receiving water sample must still be collected and tested in subsequent tests, but shall not be used as diluent. Failure to change the dilution water in subsequent tests, following a test with excessive mortality in the receiving water control, may result in invalidation of testing results and a requirement to repeat the test.

3. Number of Organisms:

At least 20 organisms of a particular species shall be used for each solution tested in an acute toxicity test.

4. Test Temperature:

The approved methods for acute toxicity listed in Section 1.A.1 contain different test temperatures for the species depending upon the purpose and type of tests to be conducted. For data comparability and uniformity between acute and chronic tests, a test temperature of  $25^{\circ} \pm 1^{\circ}\text{C}$  should be used in acute tests. The test temperature that will be used should be listed in the SOP.

5. Feeding During Testing:

The U.S. EPA guidance manual for acute toxicity testing recommends feeding C. dubia during an acute toxicity test if the solutions are renewed at 48-hours of exposure. However, due to the fact that Ohio EPA is specifying static acute tests, we recommend that the organisms should not be fed during the test. The pool of organisms that are to be used for the tests should be fed while in holding immediately prior to test initiation. A minimum amount of this water should be transferred to the test solutions.

G. Chemical Analysis:

A sufficient volume of effluent shall be collected to allow aliquots for use in acute toxicity tests and chemical analysis. Bioassay effluent sampling may be coordinated with other permit sampling requires as appropriate to avoid duplication. The analyses detailed in the currently effective Part I, Effluent Limitations and Monitoring Requirements tables in the NPDES permit must be conducted for the effluent sample. In addition, alkalinity and hardness (as  $\text{CaCO}_3$ ) should be measured. Chemical analysis must comply with Ohio EPA accepted procedures.

H. Reporting Requirements:

1. Reporting Toxicity Testing Results on Monthly Operating Reports - NPDES permits require that results of testing be reported on a form acceptable to Ohio EPA. The results of toxicity tests required under Part I Permit Requirements shall be reported on EPA Form 4500. A copy of Form 4500 is included as Attachment 1.

Results for final effluent toxicity shall be expressed as toxic units on Form 4500. An acute toxic unit ( $TU_a$ ) is defined as:

$$TU_a = \frac{100}{LC_{50}}$$

Form 4500 should be received by Ohio EPA Central Office by the 15th day of the month following the month in which the toxicity test samples were collected. It may be necessary to obtain or provide the toxic unit results prior to receipt of the full laboratory report in order to fulfill this requirement. The toxicity test result should be recorded on the first day of sampling for the toxicity test.

For purposes of reporting on Form 4500 only, the following conventions should be used to report effluent toxicity tests where an  $LC_{50}$  cannot be identified:

<u><math>TU_a</math></u>	<u>Percent Mortality or Other Adverse Effect in Whole (100%) Effluent</u>
0.9	45
0.8	40
0.7	35
0.6	30
0.5	25
0.4	20
0.3	15
0.2	10
AA (below detectable)	<10

The above conventions should be used for reporting valid toxicity tests (i.e., tests that satisfy the requirements of Section 2.F). If a test is not valid due to control mortality or other problems, the code "AE" (analytical data not valid) should be used to report the test results on Form 4500. The test should then be repeated as appropriate.

Reporting for instream stations shall be in percent of organisms affected. This percentage should reflect all mortality and atypical behavior or appearance by the test

organisms. Results from upstream stations (those NPDES monitoring stations numbered as 801, 802, etc.) should be reported as the percent affected from that sample. In the event that an alternative control/dilution water is used, data from the upstream ambient station (if required) should still be reported and the fact that an alternative dilution water was used should be recorded in the comments section on the form. Downstream stations (those NPDES monitoring stations numbered as 901, 902, etc.) should reflect the results of the near-field sample. If no mortality or effects are observed in these solutions, record "AA" (below detectable) on the 4500 form.

See Attachment 2 for an example of a completed Form 4500 showing the results of an acute toxicity test.

Timely submittal of these data allows for input of toxicity testing results into Ohio 's mainframe computer systems.

2. Information Reported for Acute Toxicity Tests:

Ohio EPA has established two basic reporting formats for toxicity testing results, depending upon whether the permittee has effective toxicity unit (TU<sub>a</sub>) limitations in its NPDES permit.

- a. Reporting for Permittees with Detailed Reporting Requirements - If the permittee does not have effective toxic unit limitations in its permit, additional information shall be submitted, as well as the necessary reporting on Form 4500. Reports containing the additional information for acute toxicity monitoring requirements shall be submitted to the following address within 60 days of the initiation of the test:

Permits Section  
Ohio EPA-Division of Surface Water  
P.O. Box 1049  
Columbus, Ohio 43216-1049

Reporting of acute toxicity test results shall be submitted on the "Ohio EPA NPDES Biomonitoring Report Form" (see Attachment 3). The biomonitoring report consists of the following five parts: 1) General Information; 2) Acute Toxicity Test Sampling Data; 3) Toxicity Test Conditions; 4) Acute Toxicity Test Results and 5) Additional Toxicity Test Information and Conclusions/Comments. The following is guidance on completion of the five portions of the biomonitoring report:

1. General Information - Much of the information in this part is self-explanatory. However, additional details follow for some items:

- Reporting Date is the date the report is submitted
  - SOP's are the standard operating procedures required by the NPDES permit and described in Section 1.B. An SOP need only be submitted once for any particular testing laboratory; the SOP on file with Ohio EPA must be accurate and current. If the testing laboratory has previously submitted an SOP, mark "yes" and indicate the date the SOP was submitted.
  - The Certification Statement and Signature form must be signed by a responsible person in charge of the facility as defined by 40 CFR 122.22.
2. Acute Toxicity Test Sampling Data - Describe conditions relating to the collection of samples to be tested. This part should be filled out as necessary for each outfall that is tested. The information entered in this part of the form is self-explanatory.
  3. Toxicity Test Conditions - Describe the conditions during the toxicity test. This part should be filled out for each species tested. Additional details describing some of the information requested are listed below:
    - For Test Type and Duration, the test type would typically be static; durations should be listed in hours.
    - The Light Quality states the type of lighting system and/or light intensity, if available.
    - For Dilution and Primary Control Water, list the source of the dilution and primary control water (e.g., receiving water, rearing unit water, dilute mineral water, etc.).
    - The Secondary Control Water lists the source of the secondary control water (e.g., hard reconstituted water, receiving water, dilute mineral water, etc.).
    - For aeration, indicate whether or not the sample required aeration and if aeration was required before or during the test.
  4. Acute Toxicity Test Results - Describe the results of the acute toxicity test. This part should be filled out for each species tested and for each outfall tested. Much of the information that is requested is self-explanatory. Additional details describing some of the information requested are listed below:

- ° Cumulative Percent Mortality is the cumulative total of dead organisms, expressed as a percentage of the total test organisms for that solution recorded every 24 hours. The result should be recorded on the line corresponding to the solution that was tested.
  - ° Cumulative Percent Affected is the cumulative total of organisms showing some adverse effect (e.g., death, disorientation, atypical appearance or behavior), expressed as a percentage of the total test organisms for that solution recorded every 24 hours. The result should be recorded in the parentheses below the line showing percent mortality at the time for that solution.
  - ° For Method(s) Used to Determine ..., list the graphical or statistical methods used to derive the  $LC_{50}$ , and their 95 percent confidence limits.
- 5. Additional Toxicity Test Information - This portion is used to present information about the effluent plume during sampling, as well as any conclusions that may be drawn from the data, and should be completed for each species and outfall. Additional details on the information requested are listed below:
  - ° For Method(s) Used to Verify Near-Field and/or Far-Field Sampling Locations ..., complete this portion indicating the method used to verify the location of the effluent plume during the sampling (e.g., conductivity, temperature, visual, etc.).
  - ° Conclusions/Comments should be completed for each species and outfall tested as the permittee or testing laboratory as deemed appropriate. The information listed under Additional Toxicity Test information must be attached to the report.
- b. Reporting for Permittees with Toxic Unit Limits - If the permittee has effective toxic unit permit limitations, the only reporting required is on Form 4500, provided that the SOP requirements (see Section 1.B) has been fulfilled. All other data elements required by this Section (see items 1 through 21, below) shall be retained by the permittee in accordance with Part III.7, Records Retention, of the NPDES permit. Although records retention requirements specify that records be maintained for 3 years, Ohio EPA recommends that toxicity test information be retained for 5 years (or through the subsequent permit renewal).

The following information is required to be retained as supporting information for each test. This data will be used, if necessary, to assure the validity of data submitted on Form 4500.

1. Name and address of the testing laboratory.
2. Name and address of the facility which is the source of the effluent tested.
3. Ohio EPA and NPDES permit numbers for the facility.
4. Receiving water tested and/or used.
5. Source of dilution water.
6. Date and time of sample collection.
7. Collector(s) name(s).
8. Type of toxicity test.
9. Test organisms used.
10. Test organism origin and acclimation process.
11. Number of organisms per container and per concentration.
12. Test container size, number per concentration, and depth of test solution.
13. Concentrations tested and volume.
14. Test temperature.
15. Results of chemical analyses.
16. Results of physicochemical measurements taken during the test.
17. Definition of adverse effects measured in the test (end points).
18. Number of organisms in each concentration showing the adverse effects at specified times.

19. Median lethal concentrations (LC<sub>50</sub>) for each 24-hour interval during the test, confidence limits for those values, and the methods used for the calculations.
20. Exact details for the near-field sample location in relation to the outfall and plume location along with the results from temperature, conductivity or dye study used to confirm the effluent plume. If conducted, results of the detailed mixing zone study shall be provided.
21. Any other relevant information.

### Section 3: Requirements for Chronic Toxicity Testing

This Section discusses the details of NPDES permit requirements for effluent toxicity testing designed to measure chronic toxicity. These requirements should be followed unless specifically modified by the NPDES permit.

#### A. Chronic Toxicity as an Effluent Characteristic.

Chronic toxicity in an effluent toxicity test is measured as an effect or effects induced by a relatively long-term exposure to an effluent. The end points for a chronic toxicity test are growth or reproductive effects, as well as death of an organism and atypical appearance or behavior. End results of chronic toxicity tests are defined by the Lowest Observed Effect Concentration (LOEC), the No Observed Effect Concentration (NOEC), and the Inhibition Concentration (IC). The IC is the concentration that would cause a given percent reduction in a non-quantal biological measurement (e.g., the IC<sub>25</sub> would cause a 25 percent reduction in mean young Ceriodaphnia dubia reproduction or in Pimephales promelas growth, and the IC<sub>50</sub> would cause a 50 percent reduction in reproduction or growth). A non-quantal effect is an all or nothing response (e.g., life vs. death, or motile vs. immotile). The LOEC is the lowest concentration in which a particular effect (e.g., reduced growth, reproduction or survival) is exhibited at a statistically significant level. The NOEC is the highest concentration in which no statistically significant effects are observed.

The IC<sub>25</sub> is determined by statistical point estimation techniques and may be accompanied by confidence limits. The NOEC and LOEC are determined by statistical hypothesis testing techniques and are dependent upon the dilution factor used in a toxicity test. Statistical confidence limits may not be placed about the NOEC or LOEC.

#### B. Test Organisms.

The test organisms used for chronic toxicity testing will be Ceriodaphnia dubia and Pimephales promelas (fathead minnow).

C. Length of Chronic Toxicity Tests.

1. Fathead Minnows:

The length of the fathead minnow chronic toxicity test is 7 days.

2. Ceriodaphnia dubia:

The nominal length of the Ceriodaphnia dubia chronic toxicity test is 7 days. The end point of the test involves the production of 3 broods by the control organisms. The test may end after 6 days if 60 percent or more of the controls have had 3 broods and have produced an average of 15 young per surviving female, as a minimum. The test may last as long as 8 days if 3 broods have not been produced by the controls. This could occur if temperatures were to drop, etc.

D. Type of Test.

Chronic toxicity tests will be static renewal tests. Test solutions should be renewed every 24 hours, using one of three sample sets collected throughout the duration of the test. The first sample should be used to initiate the test on "Day 0" (start of test) and to renew the solutions at "Day 1" (24 hours). The second sample set should be collected to renew the test solutions at "Day 2" (48 hours) and "Day 3" (72 hours). The third sample should be collected to renew test solutions at "Day 4" (96 hours), "Day 5" (120 hours) and "Day 6" (144 hours). Care should be taken not to exceed the allowable holding time for each sample (see Section 3.E). Ohio EPA prefers the above sample collection arrangement; however, if plant operation, scheduling difficulties, or other problems arise, an alternative sample sequence may be used. If an alternative sequence is used, it should be noted on the test report.

All chronic toxicity tests are definitive tests, designed to quantify the amount of chronic toxicity. Therefore, a series of dilutions of the effluent shall be tested using a minimum of five effluent concentrations for each chronic test. The typical dilution series consists of solutions of 100, 50, 25, 12.5 and 6.25 percent by volume effluent.

There may be instances when it will be advantageous to use a different dilution series. Selection of the appropriate dilution series is the responsibility of the permittee. Ohio EPA staff will be available for consultation on the issue of appropriate dilution series. However, the permittee, in conjunction with the testing laboratory, may exercise professional judgement on the selection of appropriate dilution series. When selecting a dilution series, the following two issues should be considered:

1. Allowable Effluent Toxicity:

AET is the permissible amount of toxicity for a particular discharge. This value is determined by factoring the available dilution in the receiving stream with the water quality criteria for toxicity as well as the effects of any interactive discharges. Should permit limitations for toxicity be established for a permittee, the AET is the amount of toxicity that would be allowed. Methods for calculating the AET are contained in OAC 3745-02-09, as mentioned in Section 2.D.2.a. When selecting a dilution series, the relationship of that series to the AET should be considered. The series selected should be one which will yield data to determine if the AET has/has not been exceeded, yet still identifies the NOEC, LOEC, and IC25.

## 2. Toxicity in the Effluent:

There may be instances when it is necessary to alter the dilution series in order to better determine the NOEC, LOEC and IC25. This would be the case in an effluent that exhibits moderate chronic toxicity. In order to better define the NOEC, LOEC and IC25, the dilution series has to be shifted to a higher set of dilutions. Also, if effluent toxicity is consistently exhibited within a certain range, it may be advisable to adjust the dilution series to focus in that area to better define the NOEC, LOEC and IC25.

If the chronic toxicity shown by the effluent falls into a large gap in the dilution series (e.g., between 100 and 50 percent effluent), it may be difficult to discern whether or not the AET is actually being exceeded. For example, assume a discharge to a stream has an AET of 1.3 Chronic Toxic Unit ( $TU_c$ ) and the dilution series used for testing is 100, 50, 25, 12.5 and 6.25 percent effluent by volume. If the NOEC equals 50 percent and the LOEC equals 100 percent, the  $TU_c$  value equals 1.4 (see Section 3.H.1 for the definition of  $TU_c$ ). However, one would be unable to distinguish if the AET of 1.3  $TU_c$  was really exceeded. With a dilution series of 100, 75, 50, 25 and 12.5 percent by volume effluent, one would be able to tell if the AET was actually exceeded. If the LOEC was 100 percent and the NOEC was 75 percent, the AET would not have been exceeded. However, if the LOEC was 75 percent and the NOEC was 50 percent, the AET would have been exceeded.

Control and ambient water samples are tested full strength (i.e., no dilutions).

## E. Sample Collection.

Effluent samples used to initiate and renew the test solutions in a chronic toxicity test should be collected as 24-hour composite samples. If the effluent is chlorinated for disinfection purposes, the effluent sample should be collected at a point prior to chlorination. The protocols in Section 1.A.1 should be consulted for the handling of a chlorinated sample if it is impossible to obtain a sample prior to disinfection. However, if

dechlorination is an integral part of the disinfection system at the facility, the sample should be collected at the final outfall.

Unless specifically modified by the NPDES permit, a chronic toxicity test of an effluent requires that an upstream control sample be collected as well as near-field and far-field downstream samples during each effluent sampling event. The upstream control sample is to be collected as a grab sample upstream from the zone of effluent and receiving water interaction. Care should be taken to assure that any upstream backflow of the effluent is taken into account when selecting the upstream sampling location.

The near-field downstream sample is to be collected as a grab sample from within the effluent plume in the immediate vicinity of the outfall. The near-field sample should be collected in the middle of the effluent plume at a distance of 5 times the water depth at the point of discharge, down current from the outfall. When water depth at the point of discharge exceeds 4 feet, the near-field sample should be collected 20 feet (6 meters) down current from the outfall.

The far-field downstream sample is to be collected as a grab sample within the effluent plume at a point which represents fairly complete mixing of the effluent and the receiving water. The available volume of dilution and the mixing characteristics of the receiving stream influence the selection of an appropriate far-field sampling point. Additional details are given below:

1. Rapid and Complete Mixing.

In situations where mixing is rapid and complete, the far-field sample should be collected mid-stream, at a distance of five times the stream width at the point of discharge, down current from the outfall. Ohio EPA anticipates that rapid and complete mixing conditions should occur when receiving stream dilution to discharge ratios are 10:1 or less, and the stream exhibits good pool, run, riffle development. The presence of rapid and complete mixing should be verified and documented at least once during the sampling program. If rapid and complete mixing cannot be documented, sampling should be conducted as if mixing is not rapid and complete.

2. Mixing is not Rapid and Complete

In situations where mixing is not rapid and complete, the effluent plume should be definitively located during each sampling event. The far-field sample should be collected mid-plume down current from the outfall at a point five times the stream width at the point of discharge. When the stream width at the point of discharge exceeds 500 feet, the far-field sample should be collected mid-plume 2,500 feet (750 meters) down current from the outfall at a maximum. Ohio EPA anticipates that slow mixing effluents or shore-hugging plumes that persist for considerable distances may occur when receiving water dilution to discharge ratios are 10:1 or greater, or the discharge is to a receiving stream that tends to remain in a pooled condition. In these

situations, it is imperative that the effluent plume be located and documented for each sampling event, and that the sample be taken at mid-plume.

The location of the near-field and far-field sampling locations with respect to the effluent plume must be determined and documented at the time of sampling using temperature measurements, conductivity measurements, visual observation, a dye study, or other detailed techniques for delineating the effluent plume. Upon prior approval from Ohio EPA, an alternative far-field sampling location can be determined from a detailed mixing zone study.

First use of samples collected for toxicity testing should occur within 36 hours of completion of sampling.

#### F. Quality Assurance.

##### 1. Requirements for the Repeating of a Test:

A repeat of a 7-day, short-term chronic toxicity test is mandatory when a combination of mortality and adverse effects in both laboratory and receiving water controls exceeds 20 percent for a particular species. A repeat of the test is not necessary if there is 20 percent or less affected in one of the two control waters.

##### 2. Organism Survival:

The long-term ability of the test organisms to survive can be monitored in a chronic toxicity test by evaluation of the growth and reproductive success of the control organisms. Failure of the control organisms to exhibit the following indicators invalidates the test results and, therefore, a repeat of the test if required.

##### a. Ceriodaphnia dubia

1. Pretest Culture - Records shall be maintained of the adult Ceriodaphnia dubia females from which neonates used in the chronic test are to be obtained. The adult Ceriodaphnia dubia should be cultured in individual containers, fed daily, and container solution renewed at least 3 times per week. If the following conditions are met in the 7-day period prior to testing, the neonates should be suitable for use:

° Adult mortality may not exceed 20 percent.

° A minimum mean number of 20 young per surviving adult in 3 broods are produced.

° Neonates used in the test are obtained from broods of 8 or more.

2. Controls During Testing - Control organisms shall demonstrate acceptable survival (see Section 3.F.1). In addition, the controls shall produce an average of 2.5 broods per test organism with mean production of at least 15 young per surviving animal in the test.

b. Fathead Minnows

Control organisms shall demonstrate acceptable survival (see Section 3.F.1). In addition, if the larval fish are between 24 and 48 hours old at test initiation, the controls shall show an average of at least a 3-fold weight increase. If the fathead minnow larvae are less than 24 hours old at test initiation, the average dry weight of the control organisms at the end of the test shall equal or exceed 0.250 mg.

3. Dilution Water Substitution:

If a combination of mortality and adverse effects in the upstream control sample exceeds 20 percent for a particular test organism during a test, or the conditions specified in Section 3.F.2.a or 3.F.2.b are not fulfilled, an alternative dilution and control water should be identified and used for subsequent tests. Acceptable alternative dilution waters may be similar natural waters, rearing unit water, reconstitute water, or dilute mineral water. The upstream receiving water sample should still be collected and tested, but the receiving water should not be used as diluent. Failure to change the dilution water in subsequent tests may result in invalidation of testing results and a requirement to repeat the test.

4. Number of Organisms:

- a. Ceriodaphnia dubia - 10 organisms is the minimum number that should be used in each solution of a chronic toxicity test. These organisms should be set up in 10 replicate test chambers for each solution tested (i.e., one per chamber).
- b. Fathead Minnows - A minimum of 40 organisms should be used in each solution of a fathead minnow chronic toxicity test. These organisms should be set up in a minimum of 3 replicate test chambers for each solution tested. However, Ohio EPA recommends the use of 4 replicate chambers which results in a more robust data base.

G. Chemical Analysis.

A sufficient volume of effluent shall be collected during each of the 3 composite sampling periods to allow aliquots to be used in chronic toxicity tests and for chemical analysis. If no acute effects are seen during the use of the first 2 composite samples for test initiation and renewal, then only the third aliquot needs to be analyzed for chemical parameters. If acute effects are documented during the initial or middle stages of the chronic test, then the aliquot corresponding to the solution causing the acute toxicity shall be analyzed for chemical parameters. In determining whether an acute effect has occurred in a sample, Ohio EPA recommends that if mortality and other adverse effects exceed 20 percent, the testing laboratory consider the issue of acute effects. Ohio EPA feels that this threshold should be used in conjunction with the professional judgement of the testing laboratory to determine if an acute effect is being observed.

Bioassay effluent sampling may be coordinated with other permit sampling requirements as appropriate to avoid duplication. The analyses detailed in the currently effective Part I, Effluent Limitations and Monitoring Requirements table(s) in the NPDES permit should be conducted for the effluent sample. In addition, alkalinity and hardness (as CaCO<sub>3</sub>) should be measured. Chemical analysis must comply with Ohio EPA accepted procedures.

#### H. Reporting Requirements.

##### 1. Reporting Toxicity Testing Results on Monthly Operating Reports:

NPDES permits require that results of testing be reported on a form acceptable to Ohio EPA. The results of toxicity tests required under Part I Permit Requirements shall be reported on EPA Form 4500 (see Attachment 1).

Results for final effluent shall be expressed as toxic units. A chronic toxic unit (TU<sub>c</sub>) is defined as:

$$Tu_c = \frac{100}{IC25}$$

For Ceriodaphnia tests, Tu<sub>c</sub> should also be calculated as:

$$Tu_c = \frac{100}{\text{square root of (NOEC x LOEC)}}$$

Chronic toxic unit values for Ceriodaphnia tests must be calculated based upon the NOEC x LOEC square root using the most sensitive end point (e.g., growth/reproduction or survival) and should be reported. Toxicity test results should be recorded on the day in which the first sampling event is completed (i.e., the day the first composite sample is picked up). If the NOEC equals 100 percent effluent, the toxicity test result should be reported on Form 4500 as “AA” (below detectable).

Form 4500 must be received by Ohio EPA Central Office by the 15th day of the month following the month in which the toxicity test samples were collected. It may be necessary to obtain or provide the toxic unit results prior to receipt of the full laboratory report in order to fulfill this requirement.

Reporting for instream stations shall be in percent of organisms affected. This percentage should reflect all mortality and atypical behavior or appearance by the test organisms. Results from upstream stations (those NPDES monitoring stations numbered as 801, 802, etc.) should be reported as the percent affected from that sample. In the event that an alternative control/dilution water is used, data from the upstream ambient station (if required) should still be reported and the fact that an alternative dilution water was used should be recorded in the comments section on the form. Downstream stations (those NPDES monitoring stations numbered as 901, 902, etc.) should reflect the results of the far-field sample. If growth or reproduction are measured as levels that are significantly less than controls at the downstream station, then the results recorded on the form should indicate that 100 percent of the organisms were affected, and a note placed in the comment section indicating that it was a growth or reproductive effect. If no mortality or effects are observed in these solutions, record "AA" (below detectable) on the 4500 form.

See Attachment 4 for an example of a completed Form 4500 showing the results of a chronic toxicity test.

Timely submittal of these data allows for input of toxicity testing results into Ohio's mainframe computer systems.

2. Information Reported for Chronic Toxicity Tests:

Ohio EPA has established two basic reporting formats for chronic toxicity testing results, depending upon whether the permittee has effective  $TU_c$  limitations in its NPDES permit.

- a. Reporting for Permittees with Detailed Reporting Requirements - If the permittee does not have effective toxic unit limitations in its permit, additional information shall be submitted, as well as the necessary reporting on Form 4500. Reports containing the additional information for chronic toxicity monitoring requirements shall be submitted to the following address within 60 days of the initiation of the test:

Permits Section  
Ohio EPA-Division of Surface Water  
P.O. Box 1049  
Columbus, Ohio 43216-1049

Reporting of chronic toxicity monitoring requirements shall be submitted on the “Ohio EPA NPDES Biomonitoring Report Form” for chronic toxicity tests (see Attachment 5). The biomonitoring report for chronic toxicity tests consists of the following six parts: 1) General Information; 2) Chronic Toxicity Sampling Data; 3) Toxicity Test Conditions; 4) Chronic Toxicity Test Results for Ceriodaphnia dubia; 5) Chronic Toxicity Test Results for Pimephales promelas; and 6) Additional Toxicity Test Information and Conclusions/Comments. The following is guidance on completion of the six portions of the biomonitoring report:

1. General Information - This part of the form is applicable to both the acute and the chronic tests. Much of the information in this part is self-explanatory. However, additional details are given in Section 2.H.2.a.1.
2. Chronic Toxicity Test Sampling Data - Describe conditions associated with the collection of samples. This part should be filled out for each outfall that is tested. The information entered in this part of the form is self-explanatory.
3. Toxicity Test Conditions - Describe the conditions during the toxicity test. This part should be filled out for each species tested. Additional details describing some of the information requested are listed below:
  - ° For Test Type and Duration, the test type would typically be static renewal; durations should be listed in days.
  - ° The Renewal of Test Solutions lists the collection date (MM/DD) for the sample that was used for each daily renewal.
  - ° For Dilution and Primary Control Water, list the source of the dilution and primary control water (e.g., receiving water, rearing unit water, dilute mineral water, etc.).
  - ° The Secondary Control Water lists the source of the secondary control water (e.g., hard reconstituted water, receiving water, dilute mineral water, etc.).
  - ° For aeration, indicate whether or not the sample required aeration and if aeration was required before or during the test.
4. Chronic Toxicity Test Results - Describe the results of the Ceriodaphnia dubia survival and reproduction test. Much of the information that is

requested is self-explanatory. Additional details describing some of the information requested are listed below:

- Cumulative Percent Mortality is the cumulative total of dead organisms, expressed as a percentage of the total test organisms for that solution recorded every 24 hours. The result should be recorded on the line corresponding to the solution that was tested.
  - Cumulative Percent Affected is the cumulative total of organisms showing some adverse effect (e.g., death, disorientation, atypical appearance or behavior), expressed as a percentage of the total test organisms for that solution recorded every 24 hours. The result should be recorded in the parentheses below the line showing percent mortality at the time for that solution.
  - Number of Young Produced is the total number of young produced by all Ceriodaphnia dubia for a particular solution (report in the Total column) and the mean number of young per surviving organism (report in the Mean column). An animal that dies before producing young, if it has not been identified as a male, is included in the analyses with zero entered as the number of young produced. Those solutions showing significantly less reproduction than the primary control (assuming all Quality Assurance parameters are met - see Section 3.F) should be designated with an asterisk.
  - For Method(s) Used to Determine Values, list the graphical or statistical methods used to derive the LC<sub>50</sub>, NOEC, LOEC, and IC25. For the NOEC, LOEC and IC25, the method used to determine a significant difference between the test solution and the primary control should be listed.
  - Chronic Value for Mortality is the geometric mean of the LOEC and NOEC for mortality. It is calculated as the square root of (NOEC x LOEC).
  - Chronic Value for Reproduction is the geometric mean of the LOEC and NOEC for reproduction. It is calculated as the square root of (NOEC x LOEC).
5. Chronic Toxicity Test Results for Pimephales promelas - Describe the results of the Pimephales promelas survival and growth test. Much of this information is self-explanatory or is described under the results for the Ceriodaphnia dubia survival and reproduction test, except that dry weights

based on the original number of larvae in each replicate should be used instead of number of young, and a chronic value is calculated for growth rather than reproduction.

6. Additional Toxicity Test Information - This portion is used to present information about the effluent plume during sampling, as well as any conclusions that may be drawn from the data, and should be completed for each species and outfall. Additional details on the information requested are listed below:
  - ° For Method(s) Used to Verify Near-Field and/or Far-Field Sampling Locations ..., complete this portion indicating the method used to verify the location of the effluent plume during the sampling (e.g., conductivity, temperature, visual, etc.).
  - ° Conclusions/Comments should be completed for each species and outfall tested as the permittee or testing laboratory as deemed appropriate. The information listed under Additional Toxicity Test information must be attached to the report.
- b. Reporting for Permittees with Toxic Unit Limits - If the permittee has effective toxic unit permit limitations, the only reporting required is on Form 4500, provided that the SOP requirements (see Section 1.B) has been fulfilled. All other data elements required by this Section (see items 1 through 25, below) shall be retained by the permittee in accordance with Part III.7, Records Retention, of the NPDES permit. Although records retention requirements specify that records be maintained for 3 years, Ohio EPA recommends that toxicity test information be retained for 5 years (or through the subsequent permit renewal).

The following information is required to be retained as supporting information for each test. This data will be used, if necessary, to assure the validity of data submitted on Form 4500.

1. Name and address of the testing laboratory.
2. Name and address of the facility which is the source of the effluent tested.
3. Ohio EPA and NPDES permit numbers for the facility.
4. Receiving water tested and/or used.
5. Source of dilution water.

6. Date and time of sample collection.
7. Collector(s) name(s).
8. Type of toxicity test.
9. Test organisms used.
10. Test organism origin and acclimation process.
11. Number of organisms per container and per concentration.
12. Test container size, number per concentration, and depth of test solution.
13. Concentrations tested and volume.
14. Test temperature.
15. Results of chemical analyses.
16. Results of physicochemical measurements taken during the test.
17. Definition of adverse effects measured in the test (end points).
18. Number of organisms in each concentration showing the adverse effects at specified times.
19. Median lethal concentrations ( $LC_{50}$ ) and/or the median effect concentrations ( $EC_{50}$ ) for each 24-hour interval during the test, confidence limits for those values, and the methods used for the calculations.
20. Exact details for the near-field and far-field sample locations in relation to the outfall and plume location along with the results from temperature, conductivity or dye study used to confirm the effluent plume. If conducted, results of the detailed mixing zone study shall be provided.
21. All raw data obtained in the toxicity test concerning organism survival, growth of fathead minnow larvae, and reproduction of Ceriodaphnia dubia. The raw data shall be in tabular form according to date and effluent concentrations and/or receiving water locations.
22. The LOEC and the NOEC for survival and reproduction of Ceriodaphnia dubia.

23. The IC25 values for reduction in fathead minnow growth and Ceriodaphnia dubia reproduction.
24. The IC50 values for reduction in fathead minnow growth and Ceriodaphnia dubia reproduction.
25. The average daily discharge of the effluent(s) of concern and the receiving water during the bioassay sampling.
26. Any other relevant information.

#### Section 4: Requirements for Instream Biosurveys

This Section discusses the details of NPDES permit requirements for instream biological community assessment designed to indicate the attainment or nonattainment of the biological criteria (biocriteria) specified in the Ohio WQS, OAC 3745-1-07.

##### A. Function of Instream Biological Surveys.

Ohio EPA has established biocriteria which specify the expected performance of indigenous fish and macroinvertebrate communities in waters of the state. These expectations are based upon the division of the state into five ecoregions having similar physical and biological characteristics, and the establishment of biocriteria based upon least-impacted reference sites within each ecoregion. Direct measurement of the instream biological communities can be used to define the effect of a discharge upon the receiving water and to demonstrate attainment or nonattainment of the applicable biocriteria in the WQS. Biological criteria reflect the cumulative effect of physical, chemical and biological impacts in the aquatic environment and an end result of our efforts to improve water resource integrity via regulatory programs.

##### B. Factors Examined in Conducting a Biosurvey.

There are three primary factors assessed when conducting a biosurvey. The factors are the structure and function of the fish community, the structure and function of the macroinvertebrate community and the quality of the habitat.

###### 1. Fish Community Structure and Function:

Fish community structure is typically evaluated using the Modified Index of Well Being (MIwb). This index uses numbers of species and individuals, as well as biomass, to characterize the structure of the community. Ohio EPA has refined this index to make it more sensitive to a variety of community disturbances by removing 12 species of tolerant fish, hybrids and exotics from the numbers and biomass

calculations, while retaining them in the calculations of the diversity indices used to calculate the MIwb.

Fish community structure and function are typically examined using the Index of Biotic Integrity (IBI). This index uses the proportions of the fish community that reflect various functional roles in the aquatic environment to rank the performance of a site. Twelve (12) of these values (metrics) are scored and summed, with the total score representing the IBI. This index has proven to be a good indicator of fish community disturbances, or lack thereof.

2. Macroinvertebrate Community Structure and Function:

Macroinvertebrate community health is evaluated using the Invertebrate Community Index (ICI). This index was developed along the same lines as the IBI and provides an accurate reflection of disturbances to aquatic macroinvertebrates.

3. Habitat Quality:

The quality of the habitat at each fish sampling station is evaluated using the QHEI. This index provides a relative scale for defining habitat quality and is used to assist in judging the potential aquatic life uses of a water body, as well as to influence judgements made pertaining to causes and sources of nonattainment of the biocriteria.

C. Time Period for Performing Survey Work.

Biosurvey sampling should be conducted during the period of June 15 through October 15 and follow the attached guidelines (Attachment 6). Sampling done outside of this time frame may result in invalidation of the results for comparison with the WQS. If sampling must be conducted outside of this time frame, prior approval must be obtained from Ohio EPA.

D. Type of Survey.

An instream community survey conducted to provide comparison with the biocriteria should consist of both fish and macroinvertebrate sampling unless otherwise specified by Ohio EPA. This will allow for complete definition of any problems, or lack of problems, due to the broad range of organism sensitivities that will be measured.

E. Sample Collection.

Instream biological samples should be collected strictly in accordance with the protocols specified in Section 1.A.2 of this guidance. Deviation from these protocols may only be done with prior approval from Ohio EPA. Chemical sampling must be done in accordance

with Section 4.G. Field Monitoring Guidelines and guidelines for locating sample sites are included as Attachment 6.

F. Study Plan Submission.

Prior to the initiation of an instream biomonitoring program, the permittee shall submit a study plan detailing the methods, sampling sites and proposed sampling times for the study to the address listed in Section 3.H.2.a. In addition, a pre-field meeting may be requested by Ohio EPA for the purposes of coordinating standard methods and answering questions. The permittee should not begin sampling until approval of the study plan has been granted by Ohio EPA.

G. Sampling of Ambient Waters for Instream Biosurveys.

Chemical analysis of ambient waters must be performed in conjunction with an instream biological survey. Chemical sampling must be conducted at least 6 times at each site between June 15 and October 15 at intervals not to exceed 2 weeks nor less than 1 week. Sediment samples should be collected once at each site in October.

Parameters analyzed at each site should be relevant to the NPDES permit monitoring requirements and any interactive impacts, including nonpoint sources, that occur in the study area.

H. Reporting Requirements.

If a permittee, consulting firm, or contract laboratory has conducted a stream survey to assess attainment of biological WQS criteria, a final report should be submitted which contains the following information:

1. Name of facility.
2. The receiving water of the discharge and the subsequent stream network.
3. A description of the facility, including the processes used at the facility, a description of any treatment facilities, the physical location of the facility in relation to the receiving water, and any other items unique to that facility. A diagram of the facility showing relevant outfalls should be included.
4. A characterization of the effluent from the facility in terms of any chemical or biological testing that has been performed.
5. Descriptions of all sampling sites in the study area, including a description of the location of the site, rationale for site selection, length of the sampling zone in meters,

- the nature of the habitat at the site, the location in the stream (using the River Mile Index), and any other factors unique to the sampling sites.
6. A listing of the name and model number of all sampling equipment used in the collection of the survey data.
  7. Descriptions of all electrofishing configurations used in the survey.
  8. Types of boats (if any) used in the survey.
  9. A description of exact methods for demarcation of the sampling zone, including descriptions of landmarks and other marks used to define sampling sites.
  10. A diagram of the course followed as each sampling zone was traversed on each sampling date.
  11. A description of sampling preservation methods.
  12. A listing of all taxonomic keys utilized for specimen identification.
  13. The location of the reference collection used to verify difficult-to-identify specimens and any other sources used to verify identifications.
  14. The exact methods used to construct the Hester-Dendy samplers or the source of purchase.
  15. Methods used for anchoring Hester-Dendy samplers.
  16. A description of the methods used to identify Dipterans of the family *Chironomidae*.
  17. Copies of all raw data sheets.
  18. A description of the methods used to calculate the QHEI, the IBI, the MIwb and the ICI for each site.
  19. A description of qualitative macroinvertebrates sampling techniques.
  20. A complete description of any statistical analysis that was performed on the data.
  21. Date(s) and time(s) of sampling. This should include the amount of time spent electrofishing (in seconds) on each sampling site for each date.

22. Results of the stream survey, in terms of species presence, absence and relative numbers for each study site.
23. A discussion of historic data pertaining to the locality of the study sites or that stream segment.
24. The calculated IBI, MIwb and the ICI used for comparison with the biological water quality criteria.
25. Raw data submitted in computer format for entry into the Ohio EPA Fish Information System (FINS) and Macroinvertebrate Data Gathering and Evaluation System (MIDGES). This includes QHEI sheets.
26. The biological criteria used for comparison with the stream sampling data and the rationale behind the selection of the criteria.
27. The calculated QHEI values.
28. A discussion of the study results in terms of impacts from the facility in question and other facilities that may have been studied.
29. Any other relevant information.

I. Collection Permit.

In order to conduct instream biological surveys, it is necessary to secure a permit for the collection of aquatic animals for scientific purposes. In order to obtain a permit, the Ohio Department of Natural Resources (ODNR) should be contacted at the following address:

ODNR-Division of Wildlife  
License & Permit Section  
1840 Belcher Drive  
Columbus, Ohio 43224

(telephone: 614-265-7040)

Section 5: NPDES Permit Application Requirements

All publicly-owned treatment works (POTW's) with a design flow greater than 1 million gallons per day (1 MGD), and all POTW's with approved pretreatment programs, must submit the results of whole effluent toxicity (WET) testing with their application for renewal of their NPDES permit as required by 40 CFR 122.21(j).

A. Guidelines for Submittal of This Data:

1. The data must have been collected since the last permit action (e.g., renewal or modification) or any major modifications to the POTW.
2. The data must reflect current operations at the POTW.
3. WET data collected by Ohio EPA is acceptable for this submission.
4. The minimum WET testing requirement is an acute screening test, as described in Section 2.D.1.a., for both fathead minnows and Ceriodaphnia dubia.
5. If the results of the acute screen test show greater than 50 percent mortality in 100 percent effluent, a definitive acute toxicity test, as described in Section 2.D.2, shall be conducted for the organism showing the toxicity, and the results submitted with the application.
6. At the permittee's option, the acute screening test may be waived and only the acute definitive test conducted.
7. The results of the toxicity test(s) shall be submitted with the permit application. The permit application is incomplete until receipt of this data.

ATTACHMENT 1

Form 4500



MONTHLY REPORT FORM

4500

REPORTED

NAME, ADDRESS, CITY, COUNTY, ZIP

STATION CODE

DATE (MONTH, YEAR)

PAGE OF

PRINTING DATE

APPLICATION NO.

SAMPLING STATION DESCRIPTION

NOTE: THIS FORM MUST BE TYPED

IN(1) - ENTER 1 FOR CONTINUOUS, 2 FOR COMPOSITE, 3 FOR GRAB SAMPLE IN(2) - ENTER FREQUENCY OF SAMPLING		REPORTING LAB					ANALYST				
ENTER ANALYSIS PERFORMED AND CODE NO. AT RIGHT	(1)	(2)	REPORTING CODE								
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	28										
	29										
	30										
	31										
TOTAL											
AVG.											
MAX.											
MIN.											

ADDITIONAL REMARKS (AH REPORTING CODES MUST BE EXPLAINED IN THIS SECTION)

DISTRIBUTION  
WHITE - AGENCY  
GREEN - REPORTER

I CERTIFY UNDER THE PENALTY OF LAW THAT I HAVE PERSONALLY EXAMINED AND AM FAMILIAR WITH THE INFORMATION SUBMITTED AND BASED ON MY INQUIRY OF THOSE INDIVIDUALS IMMEDIATELY RESPONSIBLE FOR OBTAINING THE INFORMATION, I BELIEVE THE SUBMITTED INFORMATION IS TRUE, ACCURATE AND COMPLETE. I AM AWARE THAT THERE ARE SIGNIFICANT PENALTIES FOR SUBMITTING FALSE INFORMATION, INCLUDING THE POSSIBILITY OF FINE AND IMPRISONMENT.

FORM NO EPA 4500 (8-91)  
FORMERLY EPA SUR1

DATE REPORT COMPLETED	SIGNATURE OF REPORTER	TITLE OF REPORTER
-----------------------	-----------------------	-------------------

ATTACHMENT 2

Example Form 4500 Showing Acute Toxicity Test Results



MONTHLY REPORT FORM

4500

REPORTED

NAME, ADDRESS, CITY, COUNTY, ZIP

STATION CODE

DATE (MONTH, YEAR)

PAGE

PRINTING DATE

APPLICATION NO.

Anytown WWTP  
238 West End Road  
Anytown Fair Plum  
43026

2PQ00023001

Oct. 1991

1 OF 1

SAMPLING STATION DESCRIPTION

001 Final Effluent

NOTE: THIS FORM MUST BE TYPED

IN(1) - ENTER 1 FOR CONTINUOUS, 2 FOR COMPOSITE, 3 FOR GRAB SAMPLE IN(2) - ENTER FREQUENCY OF SAMPLING		REPORTING LAB		ANALYST																
ENTER ANALYSIS PERFORMED AND CODE NO. AT RIGHT	(1)	2	2																	
	(2)	24	24																	
		Tox-Un AC-cer TUA	Tox-Un ACU-Pi TUA																	
		REPORTING CODE	REPORTING CODE	REPORTING CODE	REPORTING CODE	REPORTING CODE	REPORTING CODE	REPORTING CODE	REPORTING CODE	REPORTING CODE	REPORTING CODE	REPORTING CODE	REPORTING CODE	REPORTING CODE	REPORTING CODE	REPORTING CODE	REPORTING CODE	REPORTING CODE	REPORTING CODE	REPORTING CODE
DAY		61425	61427																	
01																				
02																				
03																				
04																				
05																				
06																				
07																				
08		1.8	0.8																	
09																				
10																				
11																				
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26																				
27																				
28																				
29																				
30																				
31																				
TOTAL																				
AVG.																				
MAX.																				
MIN.																				

ADDITIONAL REMARKS (AH REPORTING CODES MUST BE EXPLAINED IN THIS SECTION)

DISTRIBUTION  
WHITE - AGENCY  
GREEN - REPORTER

I CERTIFY UNDER THE PENALTY OF LAW THAT I HAVE PERSONALLY EXAMINED AND AM FAMILIAR WITH THE INFORMATION SUBMITTED AND BASED ON MY INQUIRY OF THOSE INDIVIDUALS IMMEDIATELY RESPONSIBLE FOR OBTAINING THE INFORMATION, I BELIEVE THE SUBMITTED INFORMATION IS TRUE, ACCURATE AND COMPLETE. I AM AWARE THAT THERE ARE SIGNIFICANT PENALTIES FOR SUBMITTING FALSE INFORMATION, INCLUDING THE POSSIBILITY OF FINE AND IMPRISONMENT.

FORM NO EPA 4500 (8-91)  
FORMERLY EPA SUR1

DATE REPORT COMPLETED	SIGNATURE OF REPORTER	TITLE OF REPORTER
-----------------------	-----------------------	-------------------





MONTHLY REPORT FORM

4500

REPORTED

NAME, ADDRESS, CITY, COUNTY, ZIP

STATION CODE

DATE (MONTH, YEAR)

PAGE

PRINTING DATE

APPLICATION NO.

Anytown WWTP  
238 West End Road  
Anytown Fair Plum  
43026

2PQ00023901

Oct. 1991

1 OF 1

SAMPLING STATION DESCRIPTION

901 Downstream

NOTE: THIS FORM MUST BE TYPED

IN(1) - ENTER 1 FOR CONTINUOUS, 2 FOR COMPOSITE, 3 FOR GRAB SAMPLE IN(2) - ENTER FREQUENCY OF SAMPLING		REPORTING LAB		ANALYST									
ENTER ANALYSIS PERFORMED AND CODE NO. AT RIGHT	(1)	(2)											
	48 H AC C. Dubi % Eff	96 H AC Pimeph % Eff	REPORTING CODE										
DAY	61432	61435											
01													
02													
03													
04													
05													
06													
07													
08	AA	10											
09													
10													
11													
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25													
26													
27													
28													
29													
30													
31													
TOTAL													
AVG.													
MAX.													
MIN.													

ADDITIONAL REMARKS (AH REPORTING CODES MUST BE EXPLAINED IN THIS SECTION)

DISTRIBUTION  
WHITE - AGENCY  
GREEN - REPORTER

I CERTIFY UNDER THE PENALTY OF LAW THAT I HAVE PERSONALLY EXAMINED AND AM FAMILIAR WITH THE INFORMATION SUBMITTED AND BASED ON MY INQUIRY OF THOSE INDIVIDUALS IMMEDIATELY RESPONSIBLE FOR OBTAINING THE INFORMATION, I BELIEVE THE SUBMITTED INFORMATION IS TRUE, ACCURATE AND COMPLETE. I AM AWARE THAT THERE ARE SIGNIFICANT PENALTIES FOR SUBMITTING FALSE INFORMATION, INCLUDING THE POSSIBILITY OF FINE AND IMPRISONMENT.

FORM NO EPA 4500 (8-91)  
FORMERLY EPA SUR1

DATE REPORT COMPLETED	SIGNATURE OF REPORTER	TITLE OF REPORTER
-----------------------	-----------------------	-------------------

**Sample Data Set**  
**Acute Toxicity Test Data**

**Anytown WWTP**

<u>Concentration</u>	<u>Fathead Minnow</u>		<u>Ceriodaphnia dubia</u>	
	<u>% Mortality</u>	<u>% Affected</u>	<u>% Mortality</u>	<u>% Affected</u>
100	35	40	100	100
50	0	0	25	35
25	0	0	0	0
12.5	0	0	0	0
6.25	0	0	0	0
Upstream Control	0	0	0	0
Downstream Sample	10	10	0	0
LC <sub>50</sub>	>100		59.9	
EC <sub>50</sub>	>100		55.8	
Tu <sub>a</sub>	0.8		1.8	

ATTACHMENT 3

Ohio EPA NPDES Biomonitoring Report Form Acute Toxicity Test

OHIO EPA NPDES BIOMONITORING REPORT FORM

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GENERAL INFORMATION

1. Facility Name: \_\_\_\_\_ Reporting Date: \_\_\_\_\_
2. Address: \_\_\_\_\_  
\_\_\_\_\_
3. Ohio EPA Permit Number: \_\_\_\_\_ 4. Application (NPDES) No. \_\_\_\_\_
5. Facility Contact: \_\_\_\_\_ 6. Phone No.: (\_\_\_\_) \_\_\_\_\_
7. Consultant/Testing Lab Name: \_\_\_\_\_
8. Consultant/Lab Contact: \_\_\_\_\_ 9. Phone No.: (\_\_\_\_) \_\_\_\_\_
10. Receiving Water(s) of Discharge: \_\_\_\_\_
11. Outfall(s) Tested : \_\_\_\_\_  
Average Daily Flows : \_\_\_\_\_  
on Day Sampled (MGD)
12. Is your current Standard Operating Procedure (SOP) Manual on file with Ohio EPA?  
(Yes/No) \_\_\_\_\_. If yes, date submitted: \_\_\_\_\_. If no, a SOP that  
follows Ohio EPA and/or U.S. EPA protocols must be submitted as soon as possible  
in order to eliminate the need to include this information with every report.
- 
- 

I certify under penalty of law that I have personally examined and am familiar with the information submitted in this document and based on my inquiry of those individuals immediately responsible for obtaining the information, I believe the submitted information is true, accurate and complete. I am aware that there are significant penalties for submitting false information, including the possibility of fine and imprisonment.

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Date

\_\_\_\_\_  
Name (typed or printed)

\_\_\_\_\_  
Title

ACUTE TOXICITY TEST SAMPLING DATA

TABLE \_\_\_\_\_

Sampling Summary for Acute Toxicity Tests			
Sampling Location & Description	Sample Collection		Weather/Receiving Stream Conditions
	Beginning MM/DD/Time	Ending MM/DD/Time	
<p>Final Effluent:</p> <p>Outfall No.: _____</p> <p>Type (Grab/Composite): _____</p> <p>Volume Collected: _____</p> <p>Upstream Station:</p> <p>Waterbody: _____</p> <p>Station No.: _____</p> <p>Type (Grab/Composite): _____</p> <p>Volume Collected: _____</p> <p>Downstream Station (Near-field):</p> <p>Waterbody: _____</p> <p>Station No.: _____</p> <p>Type (Grab/Composite): _____</p> <p>Volume Collected: _____</p> <p>Additional Stations (If needed):</p> <p>Waterbody: _____</p> <p>Station No.: _____</p> <p>Type (Grab/Composite): _____</p> <p>Volume Collected: _____</p> <p>Waterbody: _____</p> <p>Station No.: _____</p> <p>Type (Grab/Composite): _____</p> <p>Volume Collected: _____</p>			

TOXICITY TEST CONDITIONS

TABLE \_\_\_\_\_

Summary of Toxicity Test Conditions	
<ol style="list-style-type: none"> <li>1. Test Species and Age:</li> <li>2. Test Type and Duration:</li> <li>3. Test Dates:</li> <li>4. Test Temperature (°C):</li> <li>5. Light Quality:</li> <li>6. Photoperiod:</li> <li>7. Feeding Regime:</li> <li>8. Size of Test Vessel:</li> <li>9. Volume and Depth of Test Solutions</li> <li>10. No. of Test Organisms per Test Vessel:</li> <li>11. No. of Test Vessels per Test Solution:</li> <li>12. Total No. of Test Organisms per Test Solution:</li> <li>13. Test Concentrations (as percent by volume effluent):</li> <li>14. Renewal of Test Solutions:</li> <li>15. Dilution and Primary Control Water:</li> <li>16. Secondary Control Water:</li> <li>17. Aeration? Before/During Test:</li> <li>18. Endpoints Measures:</li> <li>19. If secondary control water used as diluent due to toxicity in primary control water, indicate number of consecutive tests conducted with alternative diluent:</li> </ol>	

ACUTE TOXICITY TEST RESULTS

TABLE \_\_\_\_\_

Results of a _____ (genus) _____ (species) _____-Hour Static Acute Toxicity Test								
Conducted _____ (mm/dd/yy) - _____ (mm/dd/yy) Using Effluent from Outfall _____ (number).								
Test Solutions	Cumulative Percent Mortality (Cumulative Percent Affected) <sup>a</sup>				LC50 Values (EC50%) Values)			
	24-Hr	48-Hr	72-Hr	96-Hr	24-Hr	48-Hr	72-Hr	96-Hr
Primary Control/ Dilution water	_____ (____)	_____ (____)	_____ (____)	_____ (____)	_____ (____)	_____ (____)	_____ (____)	_____ (____)
Secondary Control	_____ (____)	_____ (____)	_____ (____)	_____ (____)	_____ (____)	_____ (____)	_____ (____)	_____ (____)
_____% Effluent	_____ (____)	_____ (____)	_____ (____)	_____ (____)	LC50 95% Confidence Limits (EC50 95% Confidence Limits) 24-Hr 48-Hr 72-Hr 96-Hr			
_____% Effluent	_____ (____)	_____ (____)	_____ (____)	_____ (____)				
_____% Effluent	_____ (____)	_____ (____)	_____ (____)	_____ (____)	LL _____	_____	_____	_____
_____% Effluent	_____ (____)	_____ (____)	_____ (____)	_____ (____)	UL _____	_____	_____	_____
_____% Effluent	_____ (____)	_____ (____)	_____ (____)	_____ (____)	LL (____) (____) (____) (____)	_____	_____	_____
100 % Effluent	_____ (____)	_____ (____)	_____ (____)	_____ (____)	UL (____) (____) (____) (____)	_____	_____	_____
Near-Field Sample	_____ (____)	_____ (____)	_____ (____)	_____ (____)	LL = Lower Limit UL = Upper Limit			
	_____ (____)	_____ (____)	_____ (____)	_____ (____)	Calculate TUa Value:			
Method(s) Used to Determine LC50, EC50 and Confidence Limit Values:								
<p>a - cumulative percent affected is the total percentage of test organisms observed dead, immotile, exhibiting loss of equilibrium or other defined endpoints (specify below)</p> <p>_____.</p>								



ATTACHMENT 4

Example Form 4500 Showing Chronic Toxicity Test Results

**Sample Data Set**  
**Chronic Toxicity Test Data**  
**Anytown WWTP**

<u>Concentration</u>	<u>Fathead Minnow</u>	<u>Ceriodaphnia dubia</u>
	<u>Percent Mortality</u>	<u>Percent Mortality</u>
100	100*	20 **
50	45*	30 **
25	10	10 **
12.5	20	0
6.25	7.5	0
Upstream Control	2.5	0
Lab Control	2.5	0
Near-field Sample	95*	0 **
Far-field Sample	5.0	0

	<u>Survival</u>	<u>Growth</u>	<u>Survival</u>	<u>Reproduction</u>
NOEC	25%	25%	100	12.5%
LOEC	50%	50%	>100	25.0%
Tu <sub>c</sub>	2.8	2.8	<1.0	5.7
IC <sub>25</sub>		33.4%		21.7%
IC <sub>50</sub>		48.3%		53.6%
Tu <sub>c</sub>		3.0		4.6

NOTE: The 100% effluent concentration  
 Showed no significant difference from the  
 Upstream control by Fisher's Exact Test.

\* Denotes significant difference in survival from the upstream control (P=0.05).

\*\* Denotes significant difference in growth and/or reproduction upstream control (P=0.05).



MONTHLY REPORT FORM

4500

REPORTED

NAME, ADDRESS, CITY, COUNTY, ZIP

STATION CODE

DATE (MONTH, YEAR)

PAGE

PRINTING DATE

APPLICATION NO.

Anytown WWTP  
238 West End Road  
Anytown Fair Plum  
43026

2PQ00023801

Oct. 1991

1 OF 1

SAMPLING STATION DESCRIPTION

801 Upstream

NOTE: THIS FORM MUST BE TYPED

IN(1) - ENTER 1 FOR CONTINUOUS, 2 FOR COMPOSITE, 3 FOR GRAB SAMPLE IN(2) - ENTER FREQUENCY OF SAMPLING		REPORTING LAB		ANALYST								
ENTER ANALYSIS PERFORMED AND CODE NO. AT RIGHT	(1)	(2)										
	7 DAY C C. Dubi % Eff	7 DAY C Pimeph % Eff	REPORTING CODE									
DAY	3	3										
	1	1										
	61438	61441										
01												
02												
03												
04												
05												
06												
07												
08												
09												
10												
11												
12												
13												
14	AA	2.5										
15												
16												
17												
18												
19												
20												
21												
22												
23												
24												
25												
26												
27												
28												
29												
30												
31												
TOTAL												
AVG.												
MAX.												
MIN.												

ADDITIONAL REMARKS (AH REPORTING CODES MUST BE EXPLAINED IN THIS SECTION)

DISTRIBUTION  
WHITE - AGENCY  
GREEN - REPORTER

I CERTIFY UNDER THE PENALTY OF LAW THAT I HAVE PERSONALLY EXAMINED AND AM FAMILIAR WITH THE INFORMATION SUBMITTED AND BASED ON MY INQUIRY OF THOSE INDIVIDUALS IMMEDIATELY RESPONSIBLE FOR OBTAINING THE INFORMATION, I BELIEVE THE SUBMITTED INFORMATION IS TRUE, ACCURATE AND COMPLETE. I AM AWARE THAT THERE ARE SIGNIFICANT PENALTIES FOR SUBMITTING FALSE INFORMATION, INCLUDING THE POSSIBILITY OF FINE AND IMPRISONMENT.

FORM NO EPA 4500 (8-91)  
FORMERLY EPA SUR1

DATE REPORT COMPLETED	SIGNATURE OF REPORTER	TITLE OF REPORTER
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MONTHLY REPORT FORM

4500

REPORTED

NAME, ADDRESS, CITY, COUNTY, ZIP

STATION CODE

DATE (MONTH, YEAR)

PAGE

PRINTING DATE

APPLICATION NO.

Anytown WWTP  
238 West End Road  
Anytown Fair Plum  
43026

2PQ00023001

Oct. 1991

1 OF 1

SAMPLING STATION DESCRIPTION

001 Final Effluent

NOTE: THIS FORM MUST BE TYPED

IN(1) - ENTER 1 FOR CONTINUOUS, 2 FOR COMPOSITE, 3 FOR GRAB SAMPLE	REPORTING LAB	ANALYST
IN(2) - ENTER FREQUENCY OF SAMPLING		

ENTER ANALYSIS PERFORMED AND CODE NO. AT RIGHT (1) (2)	3	3								
	1	1								
	Tox-Un CHR-CE TUC	Tox-Un CHR-PI TUC	REPORTING CODE							
DAY	61426	61428								
01										
02										
03										
04										
05										
06										
07										
08										
09										
10										
11										
12										
13										
14	4.6	3.0								
15										
16										
17										
18										
19										
20										
21										
22										
23										
24										
25										
26										
27										
28										
29										
30										
31										
TOTAL										
AVG.										
MAX.										
MIN.										

ADDITIONAL REMARKS (AH REPORTING CODES MUST BE EXPLAINED IN THIS SECTION)

DISTRIBUTION  
WHITE - AGENCY  
GREEN - REPORTER

I CERTIFY UNDER THE PENALTY OF LAW THAT I HAVE PERSONALLY EXAMINED AND AM FAMILIAR WITH THE INFORMATION SUBMITTED AND BASED ON MY INQUIRY OF THOSE INDIVIDUALS IMMEDIATELY RESPONSIBLE FOR OBTAINING THE INFORMATION, I BELIEVE THE SUBMITTED INFORMATION IS TRUE, ACCURATE AND COMPLETE. I AM AWARE THAT THERE ARE SIGNIFICANT PENALTIES FOR SUBMITTING FALSE INFORMATION, INCLUDING THE POSSIBILITY OF FINE AND IMPRISONMENT.

FORM NO EPA 4500 (8-91)  
FORMERLY EPA SUR1

DATE REPORT COMPLETED	SIGNATURE OF REPORTER	TITLE OF REPORTER
-----------------------	-----------------------	-------------------

MONTHLY REPORT FORM

4500

REPORTED

NAME, ADDRESS, CITY, COUNTY, ZIP

STATION CODE

DATE (MONTH, YEAR)

PAGE

PRINTING DATE

APPLICATION NO.

Anytown WWTP  
238 West End Road  
Anytown Fair Plum  
43026

2PQ00023901

Oct. 1991

1 OF 1

SAMPLING STATION DESCRIPTION

901 Near field

NOTE: THIS FORM MUST BE TYPED

IN(1) - ENTER 1 FOR CONTINUOUS, 2 FOR COMPOSITE, 3 FOR GRAB SAMPLE	REPORTING LAB	ANALYST
IN(2) - ENTER FREQUENCY OF SAMPLING		

ENTER ANALYSIS PERFORMED AND CODE NO. AT RIGHT	(1)	(2)								
	3	3								
	1	1								
	7 Day C C. Dubi % Eff	7 Day C Pimeph % Eff								
	REPORTING CODE	REPORTING CODE	REPORTING CODE	REPORTING CODE	REPORTING CODE	REPORTING CODE	REPORTING CODE	REPORTING CODE	REPORTING CODE	REPORTING CODE
DAY	61438	61441								
01										
02										
03										
04										
05										
06										
07										
08										
09										
10										
11										
12										
13										
14	100	100								
15										
16										
17										
18										
19										
20										
21										
22										
23										
24										
25										
26										
27										
28										
29										
30										
31										
TOTAL										
AVG.										
MAX.										
MIN.										

ADDITIONAL REMARKS (AH REPORTING CODES MUST BE EXPLAINED IN THIS SECTION)

100% Affected in near field for fathead minnows is a result of a significant mortality effect.  
100% Affected in near field for Ceriodaphnia is a result of a significant reproduction effect.

DISTRIBUTION  
WHITE - AGENCY  
GREEN - REPORTER

I CERTIFY UNDER THE PENALTY OF LAW THAT I HAVE PERSONALLY EXAMINED AND AM FAMILIAR WITH THE INFORMATION SUBMITTED AND BASED ON MY INQUIRY OF THOSE INDIVIDUALS IMMEDIATELY RESPONSIBLE FOR OBTAINING THE INFORMATION, I BELIEVE THE SUBMITTED INFORMATION IS TRUE, ACCURATE AND COMPLETE. I AM AWARE THAT THERE ARE SIGNIFICANT PENALTIES FOR SUBMITTING FALSE INFORMATION, INCLUDING THE POSSIBILITY OF FINE AND IMPRISONMENT.

DATE REPORT COMPLETED	SIGNATURE OF REPORTER	TITLE OF REPORTER
-----------------------	-----------------------	-------------------

MONTHLY REPORT FORM

4500

REPORTED



NAME, ADDRESS, CITY, COUNTY, ZIP

STATION CODE

DATE (MONTH, YEAR)

PAGE

PRINTING DATE

APPLICATION NO.

Anytown WWTP  
238 West End Road  
Anytown Fair Plum  
43026

2PQ00023902

Oct. 1991

1 OF 1

SAMPLING STATION DESCRIPTION

902 Far field Stream

NOTE: THIS FORM MUST BE TYPED

IN(1) - ENTER 1 FOR CONTINUOUS, 2 FOR COMPOSITE, 3 FOR GRAB SAMPLE IN(2) - ENTER FREQUENCY OF SAMPLING		REPORTING LAB				ANALYST				
ENTER ANALYSIS PERFORMED AND CODE NO. AT RIGHT	(1)	(2)								
	7 DAY C C. Dubi % Eff	7 DAY C Pimeph % Eff	REPORTING CODE							
DAY	3	3								
	1	1								
	61438	61441								
01										
02										
03										
04										
05										
06										
07										
08										
09										
10										
11										
12										
13										
14	AA	3								
15										
16										
17										
18										
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22										
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24										
25										
26										
27										
28										
29										
30										
31										
TOTAL										
AVG.										
MAX.										
MIN.										

ADDITIONAL REMARKS (AH REPORTING CODES MUST BE EXPLAINED IN THIS SECTION)

DISTRIBUTION  
WHITE - AGENCY  
GREEN - REPORTER

I CERTIFY UNDER THE PENALTY OF LAW THAT I HAVE PERSONALLY EXAMINED AND AM FAMILIAR WITH THE INFORMATION SUBMITTED AND BASED ON MY INQUIRY OF THOSE INDIVIDUALS IMMEDIATELY RESPONSIBLE FOR OBTAINING THE INFORMATION, I BELIEVE THE SUBMITTED INFORMATION IS TRUE, ACCURATE AND COMPLETE. I AM AWARE THAT THERE ARE SIGNIFICANT PENALTIES FOR SUBMITTING FALSE INFORMATION, INCLUDING THE POSSIBILITY OF FINE AND IMPRISONMENT.

FORM NO EPA 4500 (8-91)  
FORMERLY EPA SUR1

DATE REPORT COMPLETED	SIGNATURE OF REPORTER	TITLE OF REPORTER
-----------------------	-----------------------	-------------------

ATTACHMENT 5

Ohio EPA NPDES Biomonitoring Report Form Chronic Toxicity Test

OHIO EPA NPDES BIOMONITORING REPORT FORM

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GENERAL INFORMATION

1. Facility Name: \_\_\_\_\_ Reporting Date: \_\_\_\_\_
2. Address: \_\_\_\_\_  
\_\_\_\_\_
3. Ohio EPA Permit Number: \_\_\_\_\_ 4. Application (NPDES) No. \_\_\_\_\_
5. Facility Contact: \_\_\_\_\_ 6. Phone No.: (\_\_\_\_) \_\_\_\_\_
7. Consultant/Testing Lab Name: \_\_\_\_\_
8. Consultant/Lab Contact: \_\_\_\_\_ 9. Phone No.: (\_\_\_\_) \_\_\_\_\_
10. Receiving Water(s) of Discharge: \_\_\_\_\_
11. Outfall(s) Tested : \_\_\_\_\_ : \_\_\_\_\_ : \_\_\_\_\_ : \_\_\_\_\_ : \_\_\_\_\_  
Average Daily Flows : \_\_\_\_\_ : \_\_\_\_\_ : \_\_\_\_\_ : \_\_\_\_\_ : \_\_\_\_\_  
on Day Sampled (MGD)
12. Is your current Standard Operating Procedure (SOP) Manual on file with Ohio EPA?  
(Yes/No) \_\_\_\_\_. If yes, date submitted: \_\_\_\_\_. If no, a SOP that follows Ohio EPA and/or U.S. EPA protocols must be submitted as soon as possible in order to eliminate the need to include this information with every report.
- 
- 

I certify under penalty of law that I have personally examined and am familiar with the information submitted in this document and based on my inquiry of those individuals immediately responsible for obtaining the information, I believe the submitted information is true, accurate and complete. I am aware that there are significant penalties for submitting false information, including the possibility of fine and imprisonment.

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Date

\_\_\_\_\_  
Name (typed or printed)

\_\_\_\_\_  
Title

**CHRONIC TOXICITY TEST RESULTS FOR FATHEAD MINNOWS (Pimephales promelas)**

TABLE \_\_\_\_\_

Test Solutions	Cumulative Percent Mortality <sup>a</sup> (Cumulative Percent Adversely Affected) <sup>a</sup> Test Day							Mean Dry Weight <sup>a</sup>		
	Day	1	2	3	4	5	6	7	Mean	SD
Primary control/ Upstream diluent										
Secondary control (Rearing Unit Water)										
6.25%										
12.5%										
25%										
50%										
100%										
Near-field										
Far-field										
LC <sub>50</sub> Values (%):									Calculated TU <sub>c</sub> Value for Survival:	
95 Percent Confidence Limits	LL									
	UL									
EC <sub>50</sub> Values (%):	>100	>100	>100	>100	>100	>100	>100	>100	Calculated TU <sub>c</sub> Value for Growth:	
95 Percent Confidence Limits	LL									
	UL									
7-day NOEC for Mortality:				7-day NOEC for Growth:				Method(s) Used to Determine Values: Mortality Growth		
7-day LOEC for Mortality:				7-day LOEC for Growth:						
Chronic Value for Mortality:				Chronic Value for Growth:						
IC25:					IC50:					
<sup>a</sup> - indicate significant difference from the primary control with an * (p < 0.05)										

**CHRONIC TOXICITY TEST RESULTS FOR Ceriodaphnia dubia**

TABLE \_\_\_\_\_

Test Solutions	Cumulative Percent Mortality <sup>a</sup> (Cumulative Percent Adversely Affected) <sup>a</sup> Test Day							Number of Young Produced <sup>a</sup>	
	1	2	3	4	5	6	7	Total	Mean
Primary control/ Upstream diluent									
Secondary Control (Hard Reconstituted Water)									
6.25%									
12.5%									
25.0%									
50.0%									
100%									
Far-field									
Near-field									
LC <sub>50</sub> Values (%):								Calculated TU <sub>c</sub> Value for Survival:	
95 Percent Confidence Limits	LL								
	UL								
EC <sub>50</sub> Values (%):								Calculated TU <sub>c</sub> Value for Reproduction:	
95 Percent Confidence Limits	LL								
	UL								
7-day NOEC for Mortality:			7-day NOEC for Reproduction:					Method(s) Used to Determine Values: Mortality Reproduction	
7-day LOEC for Mortality:			7-day LOEC for Reproduction:						
Chronic Value for Mortality:			Chronic Value for Reproduction:						
IC25:				IC50:					
a - indicate significant difference from the primary control with an * (p < 0.05)									

CHRONIC TOXICITY TEST SAMPLING DATA

TABLE \_\_\_\_\_

Sampling Summary for Chronic Toxicity Tests				
Sampling Location & Description	Sample	Sample Collection		Weather/Receiving Stream Conditions
		Beginning MM/DD/Time	Ending MM/DD/Time	
Final Effluent:				
Outfall No.: _____	1st			
Type (Grab/Composite): _____	2nd			
Volume Collected: _____	3rd			
Upstream Station:				
Waterbody: _____	1st			
Station No.: _____	2nd			
Type (Grab/Composite): _____	3rd			
Volume Collected: _____				
Downstream Station (Near-field):				
Waterbody: _____	1st			
Station No.: _____	2nd			
Type (Grab/Composite): _____	3rd			
Volume Collected: _____				
Downstream Station (Far-field):				
Waterbody: _____	1st			
Station No.: _____	2nd			
Type (Grab/Composite): _____	3rd			
Volume Collected: _____				
Additional Stations (If needed):				
Waterbody: _____	1st			
Station No.: _____	2nd			
Type (Grab/Composite): _____	3rd			
Volume Collected: _____				
Waterbody: _____	1st			
Station No.: _____	2nd			
Type (Grab/Composite): _____	3rd			
Volume Collected: _____				

## TOXICITY TEST CONDITIONS

TABLE \_\_\_\_\_

Summary of Toxicity Test Conditions	
1. Test Species and Age:	
2. Test Type and Duration:	
3. Test Dates:	
4. Test Temperature (°C):	
5. Light Quality:	
6. Photoperiod:	
7. Feeding Regime:	
8. Size of Test Vessel:	
9. Volume and Depth of Test Solutions	
10. No. of Test Organisms per Test Vessel:	
11. No. of Test Vessels per Test Solution:	
12. Total No. of Test Organisms per Test Solution:	
13. Test Concentrations (as percent by volume effluent):	
14. Renewal of Test Solutions:	
15. Dilution and Primary Control Water:	
16. Secondary Control Water:	
17. Aeration? Before/During Test:	
18. Endpoints Measures:	
19. If secondary control water used as diluent due to toxicity in primary control water, indicate number of consecutive tests conducted with alternative diluent:	



ATTACHMENT 6

Biosurvey Sampling Information

## **FIELD MONITORING GUIDELINES FOR CHEMICAL AND BIOLOGICAL ASSESSMENTS**

Ohio EPA, Division of Water Quality Planning & Assessment  
Ecological Assessment Section

### **Chemical Analysis**

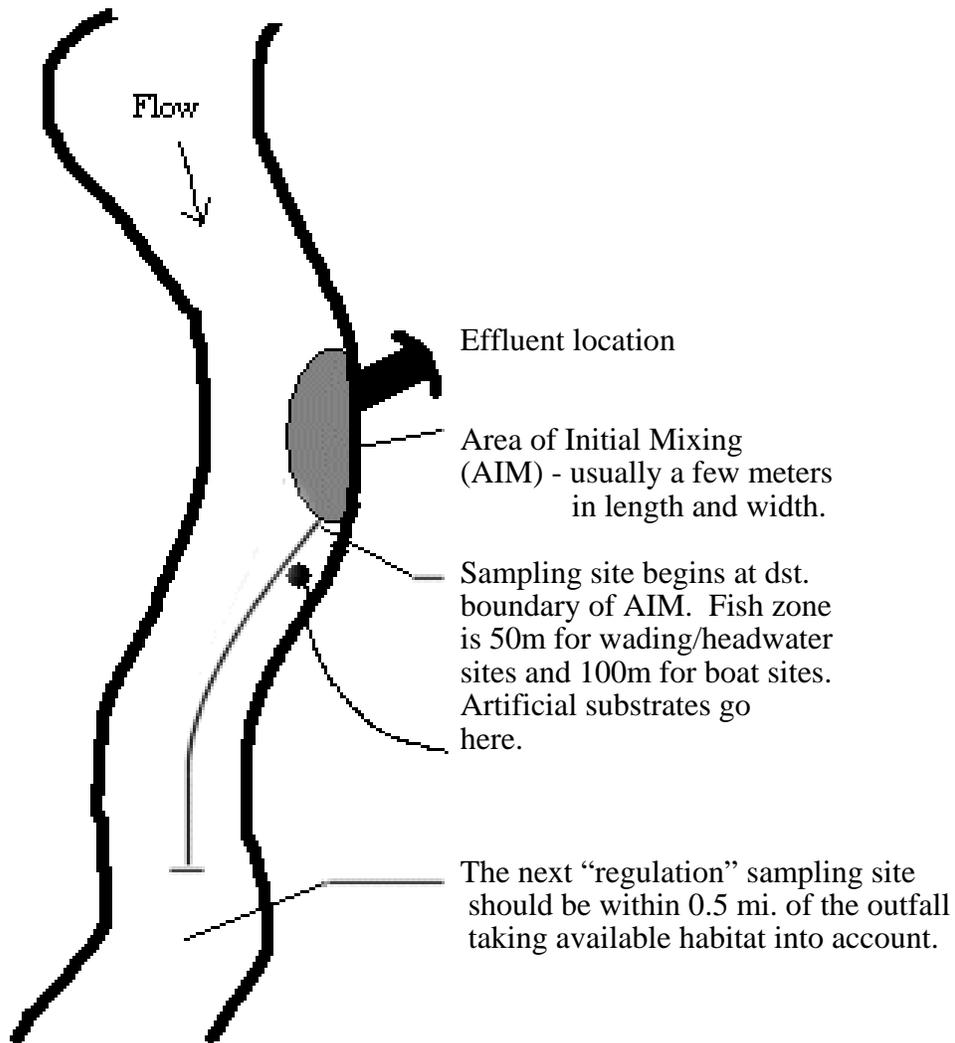
- Chemical sampling should be conducted at least 6 times at each site between June 15 and October 15 at intervals not to exceed two weeks nor less than one week. Sediment samples should be collected once at each site in October.
- Parameter coverage should be relevant to the NPDES permit monitoring requirements *and* any interactive impacts, including nonpoint sources, that occur in the study area.

### **Biological Sampling**

- Both fish and macroinvertebrate communities should be evaluated, unless specified otherwise by Ohio EPA-WQP&A.
- Macroinvertebrate sampling is conducted using modified Hester-Dendy artificial substrate samplers (cluster of 5 plates) set for a 6-week colonization period at each site, between July 1 and September 30. This sample is to be supplemented with a qualitative, dip-net/handpick sample of the natural substrate at the time the artificial substrates are retrieved.
- Fish sampling is conducted using pulsed D.C. electrofishing gear exclusively. Sampling effort is determined by distance, although a minimum sampling time is specified for method. Each site is to be sampled two or three times (one pass may be permitted by Ohio EPA in certain situations). All sampling should be conducted between June 15 and October 15.
- Specific field and laboratory procedures should conform to those outlined in Biological Criteria for the Protection of Aquatic Life: Volumes II and III. These are periodically updated and users should be aware of the latest version of these documents.

### **Aquatic Life Use Attainment**

- Attainment of aquatic life uses is determined using biocriteria outlined in the Biological Criteria for the Protection of Aquatic Life: Volume II.



### Mixing Zone Sampling Design for Biosurveys

The purpose of Mixing Zone sites is to determine if any unusual avoidance of the mixing zone area is evident or if any other evidence of acute toxicity is apparent in the indigenous biological communities. These results are not compared to the biocriteria in the Ohio WQS.